The logo consists of four stylized, purple, blocky characters arranged horizontally. The first character is a capital 'E' with a thick vertical bar on the left and a horizontal bar at the bottom. The second character is a capital 'C' with a thick vertical bar on the left and a horizontal bar at the bottom. The third character is a capital 'A' with a thick vertical bar on the left and a horizontal bar at the bottom. The fourth character is a capital 'T' with a thick vertical bar on the left and a horizontal bar at the top.

Release 3.0

Developed by

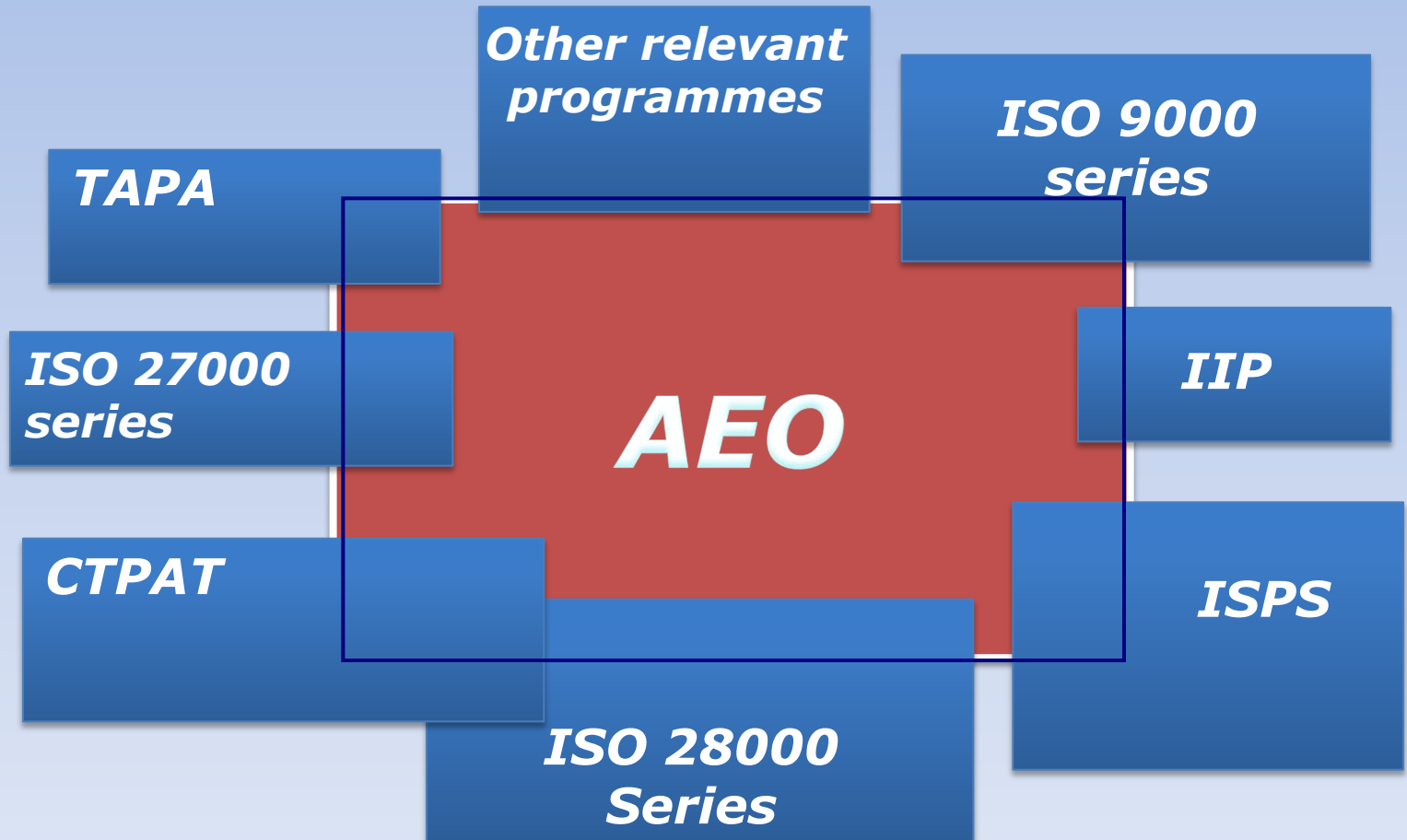
KTL Nederland

With ECAT you can...

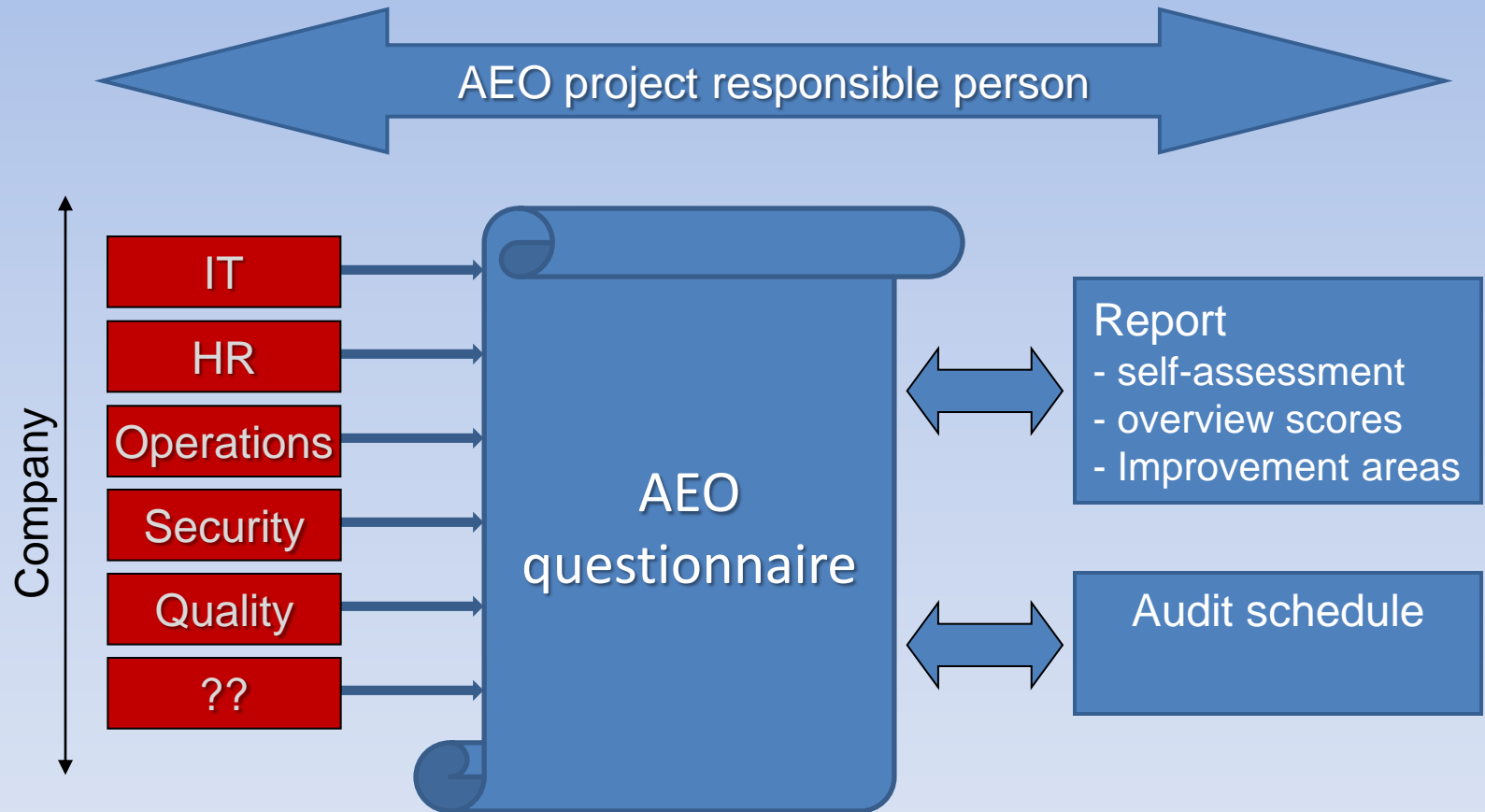
- See at a glance if your company is AEO-compliant;
- perform a AEO zero-measurement at 1 or more legal (sub)entities;
- handle your AEO application in a structured way;
- perform your internal audits with more efficiency; by using existing certifications;
- set-up a compliance program in respect of AEO certification.

Prevent double work!

ECAT uses existing certifications when pre-auditing your organisation



Department responsible for completing own specific questionnaire



You can manage which departments have to complete specific questions

USING ECAT

The data is stored per entity

KTL Corporate Data

Corporate Data * | Fiscal Data * | Managers * | Contactpersons | Available programmes | European licenses

Corporate Data

Type *

Name

Site

Date audit

Site address

Address

Zipcode / City

Country

Postal address

PO Box

Zipcode / City

Country

Contact

E-mail address *

Faxnumber

Telephonenumber *

At a glance it is clear what have to be completed

European Customs Audit Tool - KTL Nederland

File Contact Info

Sites Overview questionnaires Section I Section II Section III Section IV Section V Reports

Section I

- 1.01
- 1.02

Section II

- 2.01
- 2.02

Section III


- 3.01
- 3.02
- 3.03
- 3.04
- 3.05
- 3.06
- 3.07
- 3.08


Section IV

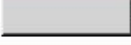
- 4.01


Section V


- 5.01
- 5.02
- 5.03
- 5.04
- 5.05
- 5.06
- 5.07
- 5.08
- 5.09
- 5.10
- 5.11
- 5.12
- 5.13


 = ready

 = not ready

 = n.a.

 = prefilled and confirmed

 = prefilled and not yet confirmed

 = prefilled



Log in as HR-manager gives the next overview



European Customs Audit Tool - KTL Nederland

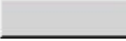

File Contact Info

Sites Overview questionnaires Section I Section II Section III Section IV Section V

Section I	Section II	Section III	Section IV	Section V
1.01	2.01	3.01	4.01	5.01
1.02	2.02	3.02		5.02
		3.03		5.03
		3.04		5.04
		3.05		5.05
		3.06		5.06
		3.07		5.07
		3.08		5.08
				5.09
				5.10
				5.11
				5.12
				5.13

 = ready  = prefilled and confirmed

 = not ready  = prefilled and not yet confirmed

 = n.a.  = prefilled

Clarifying windows for completing the questionnaire.

European Customs Audit Tool - KTL Nederland

File Contact Info

Sites Overview questionnaires Section I Section II Section III Section IV Section V Reports

The applicant's accounting and logistical system

3.01 3.02 3.03 3.04 3.05 3.06 3.07 3.08

Audit trail

1

Level of access for competent authorities

Standards ISO 9001:2001, section 6.3

Type CSF

Notes No Notes

Risks Inability to readily undertake an audit due to the way in which the applicant's accounting system is structured.

Audit No Yes

Points of attention	Answer	Documented Yes/No/NA	Documents Complete Yes/No/NA	Score	Confirm
Customs authorities shall have access to the applicant's records for control purposes including summary declarations, as required.	Bookkeeping is done in terneuzen, all information is available	<input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	75	
Are an audit trail for fiscal and/or customs purposes available?	There are several internal and external traces, the was also an EDP audit	<input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	<input checked="" type="radio"/> <input type="radio"/> <input type="radio"/>	70	

Your AEO selfassessment is completed directly (in Dutch for Customs authorities)

23102008 definitief rapport.xls [Compatibiliteitsmodus] - Microsoft Excel

Start Invoegen Pagina-indeling Formules Gegevens Controleren Beeld

Plakken Klembord Lettertype Uitlijning Getal Stijlen Cellen Bewerken

K15 fx

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	Rapport European Customs Audit Tool (Versie1) - Selfassessment												
2													
3		0 = geen beheersmaatregelen toegepast											
4		1 = bedrijfsprocessen zijn ad hoc en ongeorganiseerd											
5		2 = bedrijfsprocessen kennen een vast patroon											
6		3 = bedrijfsprocessen zijn beschreven en onderkend											
7		4 = bedrijfsprocessen worden intern gecontroleerd											
8		5 = 'best practices' worden toegepast											
9													
10													
11													
12	1.2.2	Staat van dienst op het gebied van het naleven van de voorschriften											
13	1.2.2.1	Naleving van de douanewetgeving en andere regelgeving											
14	1.2.2.2	Onregelmatigheden											
15													
16	1.2.3	Een deugdelijke financiële en logistieke administratie											
17	1.2.3.1	Controlespoor											
18	1.2.3.2	Boekhouding											
19		Organisatie van de computeromgeving											
20		Structuur van de financiële administratie											
21		Structuur van de logistieke administratie											
22	1.2.3.3	Intern controlesysteem											
23		Administratieve organisatie en interne controle systeem											
24		Procedures voor het wijzigen van stamgegevens											
25	1.2.3.4	Goederenstroom											

Oordeel
0 1 2 3 4 5

Customer data Results **Self assessment** Results - details Blad2 Blad3

Gereed 100%

See which areas need improvement at a glance

23102008 definitief rapport.xls [Compatibiliteitsmodus] - Microsoft Excel

Start Invoegen Pagina-indeling Formules Gegevens Controleren Beeld

Calibri 11 Lettertype Uitlijning Standaard Voorwaardelijke opmaak Invoegen Verwijderen Opmaak Cellen Plakken Klembord Stijlen Sorteren en Zoeken en filteren Bewerken

D32 Company's information

Report European Customs Audit Tool (Version1) - Results					Type company: Importer				
List	Section	Sub-Section	Description	Max. Score	Obtained Score	Target	Percentage	Assessment	
1.01	1	1	Volume of business	700	455	350	65%	Satisfactory	
1.02	1	2	Statistics on customs matters	1400	835	700	60%	Satisfactory	
2.01	2	1	Compliance history as regards customs authorities and other re	800	525	400	66%	Satisfactory	
2.02	2	2	Intelligence information	200	110	100	55%	Satisfactory	
3.01	3	1	Audit trail	200	130	100	65%	Satisfactory	
3.02	3	2	Accounting system	2900	1890	1450	65%	Satisfactory	
3.03	3	3	Internal control system	1200	775	600	65%	Satisfactory	
3.04	3	4	Flow of goods	2400	1570	1200	65%	Satisfactory	
3.05	3	5	Customs routines	700	470	350	67%	Satisfactory	
3.06	3	6	Procedures as regards back-up, recovery, fall-back and archive	800	560	400	70%	Good	
3.07	3	7	Information security - protection of computer systems	1000	620	500	62%	Satisfactory	
3.08	3	8	Information security - documentation security	900	575	450	64%	Satisfactory	
4.01	4	1	Insolvency	100	65	50	65%	Satisfactory	
5.01	5	1	Security assesment conducted by the economic operator (self-	1000	635	500	64%	Satisfactory	
5.02	5	2	Entry and access to premises	200	120	100	60%	Satisfactory	
5.03	5	3	Physical security	1700	1055	850	62%	Satisfactory	
5.04	5	4	Cargo units	1500	865	750	58%	Satisfactory	
5.05	5	5	Logistical processes	600	400	300	67%	Satisfactory	
5.06	5	6	Non-fiscal requirements	300	190	150	63%	Satisfactory	
5.07	5	7	Incoming goods	1800	1190	900	66%	Satisfactory	
5.08	5	8	Storage of goods	600	370	300	62%	Satisfactory	

Customer data Results Self assessment Results - details Blad2 Blad3

Gereed 100%

Central points of ECAT

- Multi-lingual (English & Dutch version);
- Program runs on own network;
- Facilitated with an extensive manual;
- Make use of current legal rules
- Several forms of reports;
- Perform any time a new zero measurement;
- User friendly interface;
- Attractive prijsstelling;
- Suitable for every organisation.

Questions? Remarks? Or just want to try??

You are interested in our interactive demo or just want more information about ECAT 3.0?

Feel free to contact us by phone or email if you want to try the interactive ECAT demo.

KT**L** Nederland

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Website: <http://www.ktl-nederland.eu>